

# Foliations

Manifold  $V$  and smooth subbundle  $F$  of  $TV$

- a) Every  $x \in V$  is contained in a submanifold  $L$  of  $V$  such that

$$T_y(L) = F_y \quad \forall y \in L.$$

- b) Every  $x \in V$  is in the domain  $U \subset V$  of a submersion  $p : U \rightarrow \mathbb{R}^q$  ( $q = \text{Codim } F$ ) with

$$F_y = \text{Ker}(p_*)_y \quad \forall y \in U.$$

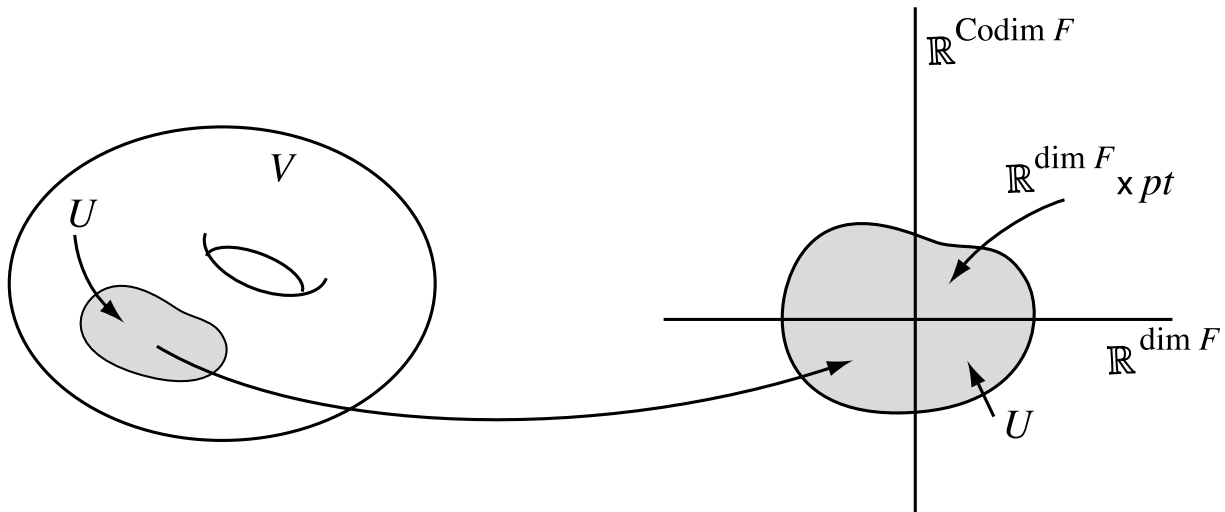
- c)  $C^\infty(F) = \{X \in C^\infty(TV), X_x \in F_x \quad \forall x \in V\}$  is a Lie algebra.

- d) The ideal  $J(F)$  of smooth exterior differential forms which vanish on  $F$  is stable by exterior differentiation.

# Leaves

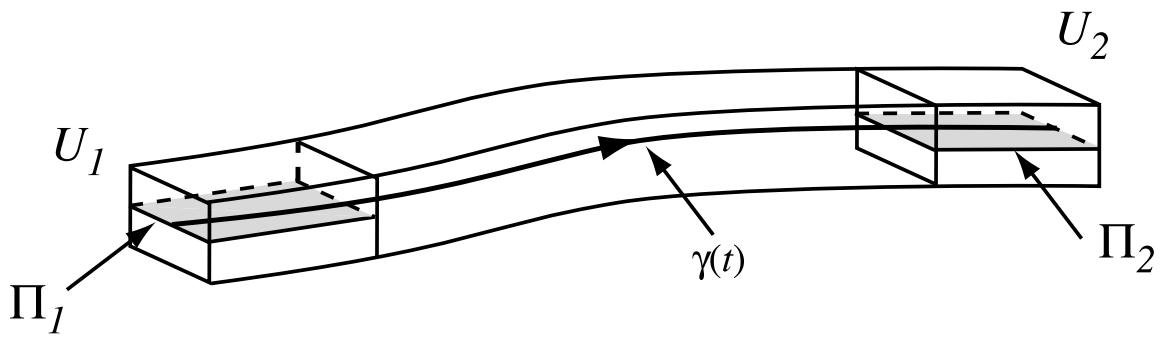
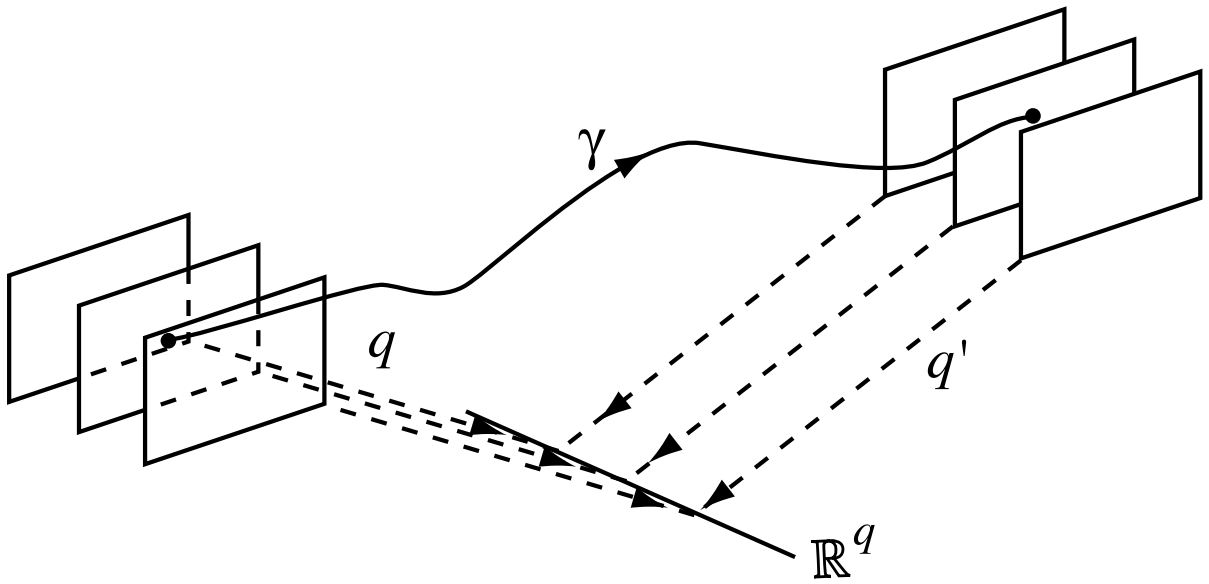
The leaves of the foliation  $(V, F)$  are the maximal connected submanifolds  $L$  of  $V$  with

$$T_x(L) = F_x, \forall x \in L$$



- 1) Though  $V$  is compact, the leaves  $L_\alpha$ ,  $\alpha \in X$  can fail to be compact.
- 2) The space  $X$  of leaves  $L_\alpha$ ,  $\alpha \in X$  can fail to be Hausdorff and in fact the quotient topology can be trivial (with no non trivial open subset).

# Holonomy



## Invariant measure for flows

Assume  $\dim F = 1$  and  $F$  is oriented.

Take  $X \in C^\infty(F^+)$ . The leaves are then the orbits of the flow  $\psi_t = \exp t X$ .

**Measure = 0-dimensional current**

$$\langle \mu, \omega \rangle = \int \omega d\mu, \quad \forall \omega \in C^\infty(V)$$

**Invariance for  $X$**

$$\psi_t \mu = \mu \iff \partial_X \mu = 0 \iff d(i_X \mu) = 0$$

## Transverse measure for 1-dimensional foliations

Assume  $\dim F = 1$  and  $F$  is oriented.

$X, X' \in C^\infty(F^+)$  are related by  $X' = \varphi X$ ,  
 $\varphi \in C^\infty(V)^+$ .

$$\psi'_t(v) = \psi_{T(t,v)}(v) \quad \forall t \in \mathbb{R}, v \in V.$$

$$\psi_t \mu = \mu \iff \psi'_t \mu' = \mu' \quad \mu' = \varphi^{-1} \mu$$

$$C = i_X \mu$$

$$i_{X'} \mu' = i_X \mu$$

## Ruelle-Sullivan Current

1)  $C$  is closed, *i.e.*  $dC = 0$

2)  $C$  is positive in the leaf direction, *i.e.* if  $\omega$  is a smooth 1-form whose restriction to leaves is positive then  $\langle C, \omega \rangle \geq 0$ .

$$\langle \mu, f \rangle = \langle C, \omega \rangle, \quad \forall \omega \in C^\infty(\Lambda^1 T_{\mathbb{C}}^*), \quad \omega(X) = f.$$

## Transverse Measure

A transverse measure  $\Lambda$  for the foliation  $(V, F)$  is a  $\sigma$ -additive map  $B \rightarrow \Lambda(B)$  from Borel transversals (*i.e.* Borel sets in  $V$  with  $V \cap L$  countable for any leaf  $L$ ) to  $[0, +\infty]$  such that

- 1) If  $\psi : B_1 \rightarrow B_2$  is a Borel bijection and  $\psi(x)$  is on the leaf of  $x$  for any  $x \in B_1$ , then  $\Lambda(B_1) = \Lambda(B_2)$ .
  
- 2)  $\Lambda(K) < \infty$  if  $K$  is a compact subset of a smooth transversal.

## The Ruelle-Sullivan cycle and the Euler number of a measured foliation

$(V, F)$  equipped with a transverse measure  $\Lambda$

$dC = 0$  thus  $C$  defines a cycle  $[C] \in H_k(V, \mathbb{R})$

Let now  $e(F) \in H^k(V, \mathbb{R})$  be the Euler class of the oriented real vector bundle  $F$  on  $V$

$$\chi(F, \Lambda) = \langle e(F), [C] \rangle$$

Poincaré and H. Hopf:

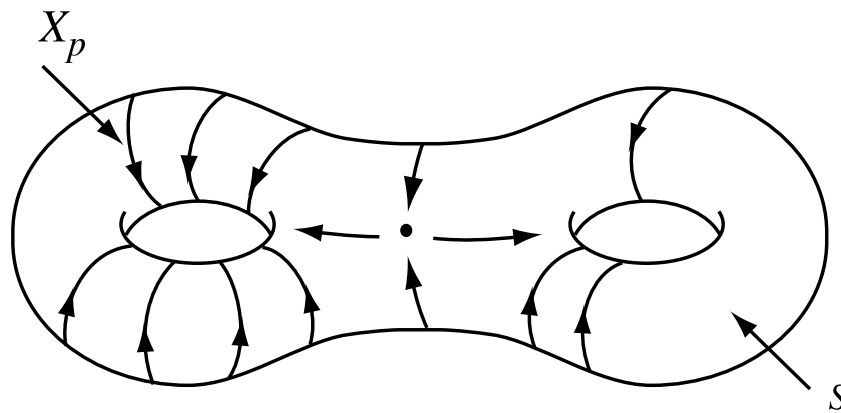
$$\chi(M) = \sum_{p \in \text{Zero } X} \omega(X, p)$$

$$\chi(F, \Lambda) = \int_{p \in \text{Zero } X} \omega(X, p) d\Lambda(p)$$



## The degree of a vector field

In local coordinates,  $X = \sum a^i \frac{\partial}{\partial x^i}$ , the matrix  $\frac{\partial a^i}{\partial x^j}(p)$  is non degenerate and the local degree is the sign of its determinant



## Continuous Dimensions

Let  $B, B'$  be Borel transversals, then if the two bundles of Hilbert spaces  $(H_L)_{L \in V/F}$ ,  $H_L = \ell^2(L \cap B)$ ;  $H'_L = \ell^2(L \cap B')$  are measurably isomorphic, one has  $\Lambda(B) = \Lambda(B')$ .

## Type II von Neumann algebra

It is the algebra of random operators (modulo null sets for  $\Lambda$ ):

$$M = \{(T_L)_{L \in V/F} \mid T_L \in \text{End } L^2(\tilde{L}), \quad \forall L \in V/F\}$$

$\tilde{L}$  is the holonomy covering of  $L$ .

## Real Betti numbers

### Theorem (ac 1978)

a) For each  $j = 0, 1, 2, \dots, \dim F$ , there exists a Borel transversal  $B_j$  such that the bundle  $(H^j(L, \mathbb{C}))_{L \in V/F}$  of  $j$ -th square integrable harmonic forms on  $L$  is measurably isomorphic to  $(\ell^2(L \cap B))_{L \in V/F}$ .

b) The scalar  $\beta_j = \Lambda(B_j)$  is finite, independent of the choice of  $B_j$ , of the choice of the Euclidean structure on  $F$ .

c) One has  $\sum (-1)^j \beta_j = \chi(F, \Lambda)$ .

## Dimension two leaves

$$\dim F = 2$$

$$H_L^{(0)} = \{\text{square integrable harmonic 0-forms on } L\}$$

As harmonic 0-forms are constant, there are two cases:

If  $L$  is not compact, one has  $H_L^{(0)} = \{0\}$ .

If  $L$  is compact, one has  $H_L^{(0)} = \mathbb{C}$ .

### Corollary

If the set of compact leaves of  $(V, F)$  is  $\Lambda$ -negligible then the integral  $\int K d\Lambda$  of the intrinsic Gaussian curvature of the leaves is  $\leq 0$ .

Proof  $\frac{1}{2\pi} \int K d\Lambda = \beta_0 - \beta_1 + \beta_2 = -\beta_1 \leq 0$ .  
Q.E.D.

## Longitudinal elliptic operators

One starts with a pair of smooth vector bundles  $E_1, E_2$  on  $V$  together with a differential operator  $D$  on  $V$  from sections of  $E_1$  to sections of  $E_2$  such that:

- 1)  $D$  restricts to leaves, *i.e.*  $(D\xi)_x$  only depends on the restriction of  $\xi$  to a neighborhood of  $x$  in the leaf of  $x$  (*i.e.*  $D$  only uses partial differentiation in the leaf direction).
- 2)  $D$  is elliptic when restricted to any leaf.

## The index theorem for measured foliations

### Theorem (ac 1978)

a) There exists a Borel transversal  $B$  (resp.  $B'$ ) such that the bundle  $(\ell^2(L \cap B))_{L \in V/F}$  is measurably isomorphic to the bundle  $(\text{Ker } D_L)_{L \in V/F}$  (resp. to  $(\text{Ker } D_L^*)_{L \in V/F}$ ).

b) The scalar  $\Lambda(B) < \infty$  is independent of the choice of  $B$  and noted  $\dim_{\Lambda}(\text{Ker}(D))$ .

$$\text{c) } \quad \dim_{\Lambda}(\text{Ker}(D)) - \dim_{\Lambda}(\text{Ker}(D^*)) = \varepsilon \langle \text{ch } \sigma_D \text{Td}(F_{\mathbb{C}}), [C] \rangle$$

( $\varepsilon = (-1)^{\frac{k(k+1)}{2}}$ ,  $k = \dim F$ ,  $\text{Td}(F_{\mathbb{C}}) = \text{Todd genus}$ ,  $\sigma_D = \text{symbol of } D$ ) .

## $\ell^2$ Betti numbers for measured equivalence relations

**Theorem** (D. Gaboriau 2000)

The Betti numbers  $\beta_j(F, \Lambda)$  of a foliation with contractible leaves are invariants of the measured equivalence relation  $\mathcal{R} = \{(x, y) \mid y \in \text{leaf}(x)\}$ .

$$0 \xleftarrow{\partial_0} C_0^{(2)} \xleftarrow{\partial_1} C_1^{(2)} \xleftarrow{\partial_2} \dots \xleftarrow{\partial_n} C_n^{(2)} \xleftarrow{\partial_{n+1}} C_{n+1}^{(2)} \xleftarrow{\partial_{n+2}} \dots$$

$$H_n^{(2)}(\Sigma, \mathcal{R}, \mu) := \text{Ker} \partial_n / \overline{\text{Im} \partial_{n+1}}$$

$$\beta_n(\Sigma, \mathcal{R}) := \dim_{\Lambda}(H_n^{(2)}(\Sigma, \mathcal{R}, \mu))$$

is independent of the choice of a bounded  $n$ -connected  $\mathcal{R}$ -complex  $\Sigma$ .

(Cheeger-Gromov in the case of discrete groups after Atiyah's  $\ell^2$  Betti numbers for covering spaces).

## II<sub>1</sub> Factors and discrete groups

<b>Group <math>\Gamma</math></b>	<b>II<sub>1</sub> Factor <math>M</math></b>
<b>Representation</b>	<b>Correspondence (<math>M</math>-bimodule )</b>
<b>Trivial rep.</b>	<b>Standard form <math>L^2(M)</math></b>
<b>Regular rep.</b>	<b>Coarse : <math>L^2(M)_{HS}</math> <math>= L^2(M) \bar{\otimes} L^2(M)</math></b>
<b>Amenable</b>	$L^2(M) \subset_{weakly} L^2(M)_{HS}$
<b>Property T (k)</b>	<b><math>L^2(M)</math> isolated (ac + vj)</b>



## II<sub>1</sub> Factors and Betti numbers (ac + ds)

By results of W. Luck for discrete groups  $\Gamma$ ,

$$\beta_*^{(2)}(\Gamma) = \dim_{L(\Gamma)} H_*(\Gamma; L(\Gamma))$$

where  $H_*$  stands for the algebraic group homology.

$L^2$ -homology of a von Neumann algebra  $M$ :

$$H_k^{(2)}(M) = H_k(M; M \bar{\otimes} M^o).$$

Here  $H_k$  stands for the algebraic Hochschild cohomology of  $M$ .

$H_0^{(2)}(M, \tau) \neq 0$  if and only if  $M$  is hyperfinite.

One is led to consider the  $L^2$ -Betti numbers,

$$\beta_k^{(2)}(M) = \dim_{M \bar{\otimes} M^o} H_k^{(2)}(M)$$

**Problem** For which groups does one have

$$\beta_*^{(2)}(L(\Gamma)) = \beta_*^{(2)}(\Gamma)$$

## Foliation $\mapsto$ von Neumann algebra

It is the algebra of random operators:

$$M = \{(T_L)_{L \in V/F} \mid T_L \in \text{End } L^2(\tilde{L}), \quad \forall L \in V/F\}$$

$$\|T\| = \text{EssSup } \|T_L\|$$

(modulo null sets for the smooth (Lebesgue) measure)

## Examples

- Reeb Foliation  $\mapsto$  Type  $I_\infty$
- Kronecker Foliation  $\mapsto$  Type  $II_\infty$  hyperfinite
- Anosov Foliation  $\mapsto$  Type  $III_1$  hyperfinite
- Flat connection  $\mapsto$  Type  $II_\infty$  non-hyperfinite (genus  $> 1$ )

## Invariants of von Neumann algebras

$$\tau : \phi \rightarrow \sigma_t^\phi$$

**Theorem** (ac 1972)

$$\delta : \mathbb{R} \rightarrow \text{Out}M = \text{Aut}M/\text{Int}M$$

$$(\sigma_t^\psi = \text{Ad}u_t \sigma_t^\phi)$$

### Invariants

- $S(M) = \cap \text{Spec } \Delta_\varphi \subset \mathbb{R}_+$
- $T(M) = \text{Ker } \delta \subset \mathbb{R}$
- $W(M) = \text{Flow of weights.}$

( $\Rightarrow$  hyperfinite non-ITPFI factor)

## Weights on foliation algebra

For manifolds the positive measures in the “smooth” class are given by 1-densities,

### Theorem (ac 1976)

- Faithful normal weights  $\varphi$  on  $M$  correspond to positive (unbounded) random operator one-densities

$$(T_{L,v})_{L \in V/F} | T_{L,\lambda v} = \lambda T_{L,v}, \quad \forall v \in \wedge^q T(V/F)$$

- Independently of  $v$  one has

$$\sigma_t^\varphi = \text{Ad } T_{L,v}^{it}$$

- Independently of  $v$  one has

$$(D\psi : D\varphi)_t = S_{L,v}^{it} T_{L,v}^{-it} \in M$$

## The Godbillon-Vey invariant

Let  $(V, F)$  be a transversally oriented compact foliated manifold, of codimension 1.

$$F = \text{Ker } \omega, \quad \omega \in C^\infty(V, T^*(V))$$

$$d\omega = \beta \wedge \omega, \quad \alpha = d\beta \wedge \beta$$

$$GV := \text{Class } \alpha \in H^3(V, \mathbb{R})$$

**Theorem** (ac 1983)(refining previous work of Heitsch-Hurder)

Let  $M$  be the associated von Neumann algebra, and  $W(M)$  be its flow of weights. Then if the Godbillon-Vey class of  $(V, F)$  is different from 0, there exists an invariant probability measure for the flow  $W(M)$ .

( $\Rightarrow$  type III)

## Homology of traces

Let  $B$  be a unital Banach algebra, view the dual space  $B^*$  as a bimodule over  $B$  with  $\langle a\varphi b, x \rangle = \langle \varphi, bxa \rangle$ ,  $\forall a, x, b \in B$ .

**Lemma** Let  $\delta$  be a densely defined derivation of  $B$  with values in  $B^*$ . Assume that the unit  $1_B$  belongs to the domain of the adjoint  $\delta^*$  of  $\delta$ , then:

- a.  $\tau = \delta^*(1)$  is a trace on  $B$ .
- b. The map of  $K_0(B)$  to  $\mathbb{C}$  given by  $\tau$  is equal to 0.

## Homology $\sim 0$ gives 1-traces

**Definition** Let  $B$  be a Banach algebra. By a 1-trace on  $B$  we mean a densely defined derivation  $\delta$  from  $B$  to  $B^*$  such that

$$\langle \delta(x), y \rangle = -\langle \delta(y), x \rangle \quad \forall x, y \in \text{Dom } \delta.$$

**Lemma** Let  $\delta$  be a 1-trace on  $B$ , then:

a)  $\delta$  is closable.

b) There exists a unique map of  $K_1(B)$  to  $\mathbb{C}$  such that, for any  $u \in \text{GL}_n(\text{Dom } \bar{\delta})$  (closure of  $\delta$ ) one has:

$$\varphi(u) = \langle u^{-1}, \bar{\delta}(u) \rangle$$

$$\langle x, \delta(a) \rangle = \int \sum_{\Gamma} x_g g(da_{g^{-1}}) \quad \forall x \in C(S^1) \rtimes \Gamma$$

implies  $K_1(C(S^1)) \subset K_1(C(S^1) \rtimes \Gamma)$ .

## Higher jet bundle $J_k^+(S^1)$

$J_k^+$  = bundle of positive frames of order  $k$  on the oriented manifold  $S^1$ .

Jet of order  $k$ ,  $j^k(f)$ , at  $0 \in \mathbb{R}$  of a local orientation preserving diffeomorphism  $f$  of a neighborhood of  $0$  in  $\mathbb{R}$  to a neighborhood of  $y = f(0)$

$S^1 = \mathbb{R}/\mathbb{Z}$  with  $y$  the corresponding coordinate. Then natural coordinates in  $J_k^+$  are  $(y, y_1, \dots, y_k)$ ,  $y \in \mathbb{R}/\mathbb{Z}$ ,  $y_\ell \in \mathbb{R}$ ,  $y_1 > 0$ .

$$f(t) = y + ty_1 + t^2y_2 + \dots + t^ky_k, \quad t \in \mathbb{R}.$$

$J_k^+$  is a principal  $G^k$  bundle over  $S^1$ , where  $G^k$  is the Lie group of  $k$  jets of orientation preserving diffeomorphisms of  $\mathbb{R}$  which fix  $0 \in \mathbb{R}$ .

For any  $f \in J_k^+$ ,  $g \in G^k$ , the product is just the composition  $f \circ g$  of the jets.



**The one-trace  $d \text{Log}(\varphi')$  on  $A = C_0(J_1^+) \rtimes \Gamma$**

$\Gamma$  acts on  $S^1$  by diffeomorphisms.

$\ell(g) \in C^\infty(S^1)$  is the logarithm of the Jacobian of the diffeomorphism associated to  $g \in \Gamma$ :

$$\ell(g) = \text{Log} \frac{dg(y)}{dy}$$

1-cocycle  $\omega \in Z^1(\Gamma, \Omega_{J_1^+}^2)$  given by:

$$\omega(g) = d\ell(g) \wedge \frac{dy_1}{y_1}$$

$$\delta \left( \sum U_g y_g \right) = \sum U_g y_g \omega_g$$

where for  $f \in C_c^\infty(J_1^+)$ ,  $f\omega_g$  is the element of  $A^*$  given by

$$\langle h, f\omega_g \rangle = \int_{J_1^+} h_1 f \omega_g, \quad \forall h = \sum h_g U_g \in A$$

## Anabelian one-traces

**Definition** Let  $\delta$  be a 1-trace on  $B$ , then  $\delta$  is *anabelian* iff the domain of the adjoint  $\delta^*$  contains the center  $Z(B^{**})$  and  $\delta^* = 0$  on  $Z(B^{**})$ .

Then for any  $h \in Z(B^{**})$  the product  $h\delta$  is also an anabelian one-trace.

Let  $\delta = d\text{Log}(\varphi')$  on  $A = C_0(J_1^+) \rtimes \Gamma$ , then

a)  $\delta$  is an anabelian one-trace.

b)  $\delta$  is invariant under the action of  $G^1 = \mathbb{R}_+^*$ .

c) Any  $u \in K_1(A)$  defines a  $G^1$ -invariant normal linear form on  $W(M)$  by

$$L(h) = \langle u^{-1}, h \bar{\delta}(u) \rangle, \quad \forall h \in W(M) \subset Z(A^{**})$$

## Assembly map (ac + pb)

### Geometric group

$K^*(V, \Gamma)$  is the  $K$  homology of the pair  $(B\tau, S\tau)$  of the unit ball, unit sphere bundle of  $\tau = TV$  over  $V_\Gamma$ .

A cycle  $(N, F, g)$  is a triple where  $N$  is a compact manifold without boundary,  $F \in K^*(N)$  a  $K$ -theory class, and  $g$  is a continuous map from  $N$  to  $V_\Gamma = V \times_\Gamma E\Gamma$ , which is  $K$ -oriented, *i.e.*, such that the bundle  $TN \oplus g^*\tau$  is gifted with a  $\text{Spin}^c$  structure.

$$\mu : K^*(V, \Gamma) \rightarrow K_*(C_0(V) \times \Gamma)$$

is the basic *index* construction of  $K$ -theory classes.

## Geometric examples

Let  $(V, F)$  be a foliated manifold, special cases of the assembly map include

- Closed transversals  $N \subset V$  give elements of  $K_0(C^*(V, F))$ .
- Analytic longitudinal index

$$\text{Index}(D_L) \in K(C^*(V, F))$$

(is well defined in full generality, no transverse measure (ac + gs)).

## Chern Character

Let  $\tau = TV$  and  $H_*^\tau(V_\Gamma, \mathbb{Q})$  be the ordinary singular homology of the pair  $(B\tau, S\tau)$  over  $V_\Gamma$ , and with coefficients in  $\mathbb{Q}$ . The Chern character

$$\text{ch} : K^*(V, \Gamma) \rightarrow H_*^\tau(V_\Gamma, \mathbb{Q})$$

is a rational isomorphism.

Thom isomorphism

$$\Phi : H_{q+n}^\tau(V_\Gamma, \mathbb{Q}) \rightarrow H_q(V_\Gamma, \mathbb{Q}) \quad (n = \dim V)$$

(where  $\Phi(z) = p_*(U \cap z)$ ,  $\forall z \in H_{q+n}^\tau((B\tau, S\tau), \mathbb{Q})$  and where  $p$  is the projection from  $B\tau$  to the base  $V_\Gamma$ ).

Thus  $\Phi \circ \text{ch}$  is a rational isomorphism:

$$\Phi \circ \text{ch} : K^*(V, \Gamma) \rightarrow H_*(V_\Gamma, \mathbb{Q}).$$

## Index pairing

Let  $\delta = d \operatorname{Log}(\varphi')$  on  $A = C_0(J_1^+) \rtimes \Gamma$ , then for any  $x \in K^*(J_1^+, \Gamma)$  one has

$$\langle \mu(x), \delta \rangle = \langle \Phi \operatorname{ch} x, (B\pi)^* \operatorname{GV} \rangle$$

where  $\operatorname{GV} \in H^3(\operatorname{WO}_1)$  is the Godbillon-Vey class, and  $\pi : J_1^+ \rtimes \Gamma \rightarrow \mathcal{G}_1$  the natural homomorphism to the Haefliger groupoid.

One has the same formula replacing everywhere  $J_1^+$  by  $S^1$  and the 1-trace  $\delta$  by the following cyclic 2-cocycle  $\tau$  on  $C_c^\infty(S^1 \rtimes \Gamma)$ :

$$\tau(f^0, f^1, f^2) = \sum \int f^0(\gamma_0) f^1(\gamma_1) f^2(\gamma_2) \omega(g_1, g_2)$$

$$\omega(g_1, g_2) = d\ell(g_1 g_2) \ell(g_2) - \ell(g_1 g_2) d\ell(g_2)$$

## Hochschild cohomology $H^*(\mathcal{A}, \mathcal{A}^*)$

$$b\tau(a^0, a^1, \dots, a^{n+1}) =$$

$$\sum (-1)^j \tau(a^0, \dots, a^j a^{j+1}, \dots, a^{n+1}) +$$

$$(-1)^{n+1} \tau(a^{n+1} a^0, \dots, a^n)$$

## Cyclic cohomology $HC^n(\mathcal{A})$

$$\tau(a^1, \dots, a^n, a^0) = (-1)^n \tau(a^0, \dots, a^n), \quad \forall a^i \in \mathcal{A}$$

## Exact Triangle

$$\begin{array}{ccc} & H^*(\mathcal{A}, \mathcal{A}^*) & \\ B \swarrow & & \nwarrow I \\ HC^*(\mathcal{A}) & \xrightarrow{S} & HC^*(\mathcal{A}) \end{array}$$

# Densely defined cocycles on Banach algebras

## Definition

Let  $B$  be a Banach algebra. By an  $n$ -trace on  $B$  we mean an  $n + 1$  linear functional  $\tau$  on a dense subalgebra  $\mathcal{A}$  of  $B$  such that

a)  $\tau$  is a cyclic cocycle on  $\mathcal{A}$ .

b) For any  $a^i \in \mathcal{A}$ ,  $i = 1, \dots, n$  there exists  $C = C_{a^1, \dots, a^n} < \infty$  such that for all  $x^j \in \mathcal{A}$ ,

$$|\hat{\tau}((x^1 da^1)(x^2 da^2) \dots (x^n da^n))| \leq C \|x^1\| \dots \|x^n\|$$



## Chern character for Banach algebras

### Lemma

Let  $\tau$  be an  $n$ -trace on a Banach algebra  $B$ . Then there exists a map  $\varphi$  of  $K_i(B)$  ( $i = n(2)$ ) to  $\mathbb{C}$  such that:

a) If  $n$  is even and  $e \in \text{Proj } M_q(\text{Domain } \tau)$  then

$$\varphi([e]) = \tau \otimes \text{Tr}(e, \dots, e).$$

b) If  $n$  is odd and  $u \in \text{GL}_q(\text{Domain } \tau)$  then

$$\varphi([u]) = \tau \otimes \text{Tr}(u^{-1}, u, u^{-1}, u, \dots, u^{-1}, u).$$

## Forms and Currents

<b>Space <math>X</math></b>	<b>Algebra <math>\mathcal{A}</math></b>
<b>Vector bundle</b>	<b>Finite projective module</b>
<b>Differential form</b>	<b>Hochschild cycle</b>
<b>DeRham current</b>	<b>Hochschild cocycle</b>
<b>DeRham homology</b>	<b>Cyclic cohomology</b>
<b>Chern Weil theory</b>	<b>Pairing <math>\langle K(\mathcal{A}), HC(\mathcal{A}) \rangle</math></b>

## Hopf algebra $\mathcal{H}_1$ (ac + hm)

As an algebra  $\mathcal{H}_1$  is the universal enveloping algebra of the Lie algebra  $\{X, Y, \delta_n; n \geq 1\}$  and brackets

$$[Y, X] = X, \quad [Y, \delta_n] = n \delta_n, \quad [X, \delta_n] = \delta_{n+1}$$

$$[\delta_k, \delta_\ell] = 0, \quad n, k, \ell \geq 1.$$

As a Hopf algebra, the coproduct  $\Delta : \mathcal{H}_1 \rightarrow \mathcal{H}_1 \otimes \mathcal{H}_1$  is determined by

$$\Delta Y = Y \otimes 1 + 1 \otimes Y, \quad \Delta \delta_1 = \delta_1 \otimes 1 + 1 \otimes \delta_1$$

$$\Delta X = X \otimes 1 + 1 \otimes X + \delta_1 \otimes Y$$

and the multiplicativity property

$$\Delta(h^1 h^2) = \Delta h^1 \cdot \Delta h^2, \quad h^1, h^2 \in \mathcal{H}_1$$

**Action of  $\mathcal{H}_1$  on  $A = C_0(J_1^+) \rtimes \Gamma$**

$$J_+^1(S^1) \simeq S^1 \times \mathbb{R}^+$$

$$j(t) = y + t y_1 + \cdots, \quad y_1 > 0,$$

$$\varphi(y, y_1) = (\varphi(y), \varphi'(y) \cdot y_1).$$

The action of  $\mathcal{H}_1$  is then given as follows:

$$Y(fU_\varphi^*) = y_1 \frac{\partial f}{\partial y_1} U_\varphi^*, \quad X(fU_\varphi^*) = y_1 \frac{\partial f}{\partial y} U_\varphi^*$$

$$\delta_n(fU_\varphi^*) = y_1^n \frac{d^n}{dy^n} \left( \log \frac{d\varphi}{dy} \right) fU_\varphi^*$$

The volume form  $\frac{dy \wedge dy_1}{y_1^2}$  on  $J_+^1(S^1)$  is invariant under  $\text{Diff}^+(S^1)$  and gives rise to the following trace  $\tau : A \rightarrow \mathbb{C}$ ,

$$\tau(fU_\varphi^*) = \begin{cases} \int_{J_+^1(S^1)} f(y, y_1) \frac{dy \wedge dy_1}{y_1^2} & \text{if } \varphi = 1, \\ 0 & \text{if } \varphi \neq 1. \end{cases} \quad (1)$$

## The trace $\tau$ is $\nu$ -invariant under $\mathcal{H}_1$

The trace  $\tau$  is  $\nu$ -invariant with respect to the action  $\mathcal{H}_1 \otimes A \rightarrow A$  and with the modular character  $\nu \in \mathcal{H}_1^*$ , determined by

$$\nu(Y) = 1, \quad \nu(X) = 0, \quad \nu(\delta_n) = 0;$$

The invariance property is given by the identity

$$\tau(h(a)) = \nu(h) \tau(a), \quad \forall h \in \mathcal{H}_1$$

The fact that  $S^2 \neq 1$  is automatically corrected by twisting with  $\nu$ . Indeed,  $\tilde{S} = \nu * S$  satisfies

$$\tilde{S}^2 = 1.$$

## Hopf cyclic cohomology $HC_{\text{Hopf}}^*(\mathcal{H})$

Hopf algebra  $\mathcal{H}$ , character  $\nu$  with

$$\tilde{S}^2 = 1 \quad \tilde{S} = \nu * S$$

$$C^n(\mathcal{H}) = \mathcal{H}^{\otimes n}$$

Face operators  $\partial_i : C^{n-1}(\mathcal{H}) \rightarrow C^n(\mathcal{H})$  are

$$\begin{aligned} \partial_0(h^1 \otimes \dots \otimes h^{n-1}) &= 1 \otimes h^1 \otimes \dots \otimes h^{n-1}, \\ \partial_j(h^1 \otimes \dots \otimes h^{n-1}) &= h^1 \otimes \dots \otimes \Delta h^j \otimes \dots \otimes h^{n-1}, \\ \partial_n(h^1 \otimes \dots \otimes h^{n-1}) &= h^1 \otimes \dots \otimes h^{n-1} \otimes 1; \end{aligned}$$

Degeneracy operators  $\sigma_i : C^{n+1}(\mathcal{H}) \rightarrow C^n(\mathcal{H})$ ,  
are

$$\sigma_i(h^1 \otimes \dots \otimes h^{n+1}) = h^1 \otimes \dots \otimes \varepsilon(h^{i+1}) \otimes \dots \otimes h^{n+1};$$

Cyclic operator  $\tau_n : C^n(\mathcal{H}) \rightarrow C^n(\mathcal{H})$

$$\tau_n(h^1 \otimes \dots \otimes h^n) = (\Delta^{n-1} \tilde{S}(h^1)) \cdot h^2 \otimes \dots \otimes h^n \otimes 1.$$

## Normalized bicomplex $(CC^{*,*}(\mathcal{H}), b, B)$

The Hopf cyclic cohomology is computed from the bicomplex  $CC^{p,q}$ , where:

$$\begin{aligned} CC^{p,q}(\mathcal{H}) &= \bar{C}^{q-p}(\mathcal{H}), & q \geq p, \\ CC^{p,q}(\mathcal{H}) &= 0, & q < p; \end{aligned}$$

with

$$\bar{C}^n(\mathcal{H}) = \cap \text{Ker } \sigma_i, \quad \forall n \geq 1, \quad \bar{C}^0(\mathcal{H}) = \mathbb{C};$$

$$b : \bar{C}^{n-1}(\mathcal{H}) \rightarrow \bar{C}^n(\mathcal{H}), \quad b = \sum_{i=0}^n (-1)^i \partial_i$$

$$B = A \circ B_0, \quad n \geq 0,$$

where

$$A = 1 + (-1)^n \tau_n + \dots + (-1)^{n^2} \tau_n^n.$$

$$B_0(h^1 \otimes \dots \otimes h^{n+1}) = (\Delta^{n-1} \tilde{S}(h^1)) \cdot h^2 \otimes \dots \otimes h^{n+1}$$

$$B_0(h) = \nu(h), \quad h \in \mathcal{H}$$

**Characteristic map**  $HC_{\text{Hopf}}^*(\mathcal{H}_1) \rightarrow HC^*(\mathcal{A})$

One lets  $\mathcal{A} \subset A$  be the smooth subalgebra.

The map

$$\chi_\tau(h^1 \otimes \dots \otimes h^n)(a^0, \dots, a^n) = \tau(a^0 h^1(a^1) \dots h^n(a^n))$$

where  $h^1, \dots, h^n \in \mathcal{H}_1$  and  $a^0, a^1, \dots, a^n \in \mathcal{A}$ ,  
induces a characteristic homomorphism

$$\chi_\tau^* : HC_{\text{Hopf}}^*(\mathcal{H}_1) \rightarrow HC^*(\mathcal{A}).$$



## Characteristic map and Gelfand Fuchs cohomology

$$\kappa_1^* : H^*(\mathfrak{a}_1, \mathbb{C}) \xrightarrow{\cong} PHC_{\text{Hopf}}^*(\mathcal{H}_1),$$

The element  $\delta_1 \in \mathcal{H}_1$  is a Hopf cyclic cocycle, which gives a nontrivial class

$$[\delta_1] \in HC_{\text{Hopf}}^1(\mathcal{H}_1).$$

Moreover,  $[\delta_1]$  is a generator for  $PHC_{\text{Hopf}}^{\text{odd}}(\mathcal{H}_1)$  and corresponds to the Godbillon-Vey class in the isomorphism  $\kappa_1^*$  with the Gelfand-Fuchs cohomology.

## Schwarzian derivative

Schwarzian derivative

$$\{y; x\} := \frac{d^2}{dx^2} \left( \log \frac{dy}{dx} \right) - \frac{1}{2} \left( \frac{d}{dx} \left( \log \frac{dy}{dx} \right) \right)^2 .$$

The element  $\delta'_2 := \delta_2 - \frac{1}{2}\delta_1^2 \in \mathcal{H}_1$  is a Hopf cyclic cocycle, whose action on the crossed product algebra  $\mathcal{A} = C_c^\infty(J_+^1(S^1)) \rtimes \Gamma$  is given by the Schwarzian derivative

$$\delta'_2(fU_\varphi^*) = y_1^2 \{ \varphi(y); y \} fU_\varphi^*$$

and whose class

$$[\delta'_2] \in HC_{\text{Hopf}}^1(\mathcal{H}_1)$$

is equal to  $B(c)$ , where  $c$  is the following Hochschild 2-cocycle,

$$c := \delta_1 \otimes X + \frac{1}{2} \delta_1^2 \otimes Y .$$

## Transverse fundamental class

The generator of  $PHC_{\text{Hopf}}^{\text{even}}(\mathcal{H}_1)$  is the class of the cyclic 2-cocycle

$$F := X \otimes Y - Y \otimes X - \delta_1 Y \otimes Y,$$

which in the foliation context represents the ‘transverse fundamental class’.

It is “integral” and is the Chern character in  $K$ -homology of the spectral triple given by the hypoelliptic transverse signature operator:

$$Q = \begin{pmatrix} 0 & Y^2 + X \\ Y^2 - X & 0 \end{pmatrix}$$

## Local index formula (ac + hm)

- The equality

$$\int P = \text{Res}_{z=0} \text{Trace} (P|D|^{-z})$$

defines a trace on the algebra generated by  $\mathcal{A}$ ,  $[D, \mathcal{A}]$  and  $|D|^z$ , where  $z \in \mathbb{C}$ .

- Cocycle in the bicomplex  $(b, B)$  of  $\mathcal{A}$ ,

$$\varphi_n(a^0, \dots, a^n) = \sum_k c_{n,k}$$

$$\int a^0 [D, a^1]^{(k_1)} \dots [D, a^n]^{(k_n)} |D|^{-n-2|k|}$$

- The pairing of the cyclic cohomology class  $(\varphi_n) \in HC^*(\mathcal{A})$  with  $K_1(\mathcal{A})$  gives the Fredholm index of  $D$  with coefficients in  $K_1(\mathcal{A})$ .

## Modular Hecke algebra (ac + hm)

$$\alpha \cdot z = \frac{az + b}{cz + d}, \quad j(\alpha, z) = cz + d$$

$$f|_k \alpha (z) = \det(\alpha)^{k/2} f(\alpha \cdot z) j(\alpha, z)^{-k}$$

Let  $\Gamma$  be a congruence subgroup. By a *Hecke operator form of level  $\Gamma$*  we mean a map

$$F : \Gamma \backslash \mathrm{GL}_2^+(\mathbb{Q}) \rightarrow \mathcal{M}, \quad \Gamma \alpha \mapsto F_\alpha \in \mathcal{M},$$

with *finite support* and satisfying the *covariance condition*

$$F_{\alpha\gamma} = F_\alpha|_\gamma, \quad \forall \alpha \in \mathrm{GL}_2^+(\mathbb{Q}), \gamma \in \Gamma.$$

$$(F^1 * F^2)_\alpha := \sum_{\beta \in \Gamma \backslash \mathrm{GL}_2^+(\mathbb{Q})} F_\beta^1 \cdot F_{\alpha\beta^{-1}}^2|_\beta$$

turns the vector space  $\mathcal{A}(\Gamma)$  of all Hecke operator forms of level  $\Gamma$  into an associative algebra.

## Hopf action of $\mathcal{H}_1$ on $\mathcal{A}(\Gamma)$

The Hopf algebra  $\mathcal{H}_1$  admits a canonical action on  $\mathcal{A}(\Gamma)$ .

$$Y(f) = \frac{k}{2} \cdot f, \quad \forall f \in \mathcal{M}_k.$$

$$X := \frac{1}{2\pi i} \frac{d}{dz} - \frac{1}{12\pi i} \frac{d}{dz} (\log \Delta) \cdot Y$$

(where  $\Delta(z) = \eta^{24} = q \prod_{n=1}^{\infty} (1 - q^n)^{24}$  with  $q = e^{2\pi iz}$ )

$$Y(F)_\alpha := Y(F_\alpha), \quad \forall F \in \mathcal{A}(\Gamma), \alpha \in G^+(\mathbb{Q}),$$

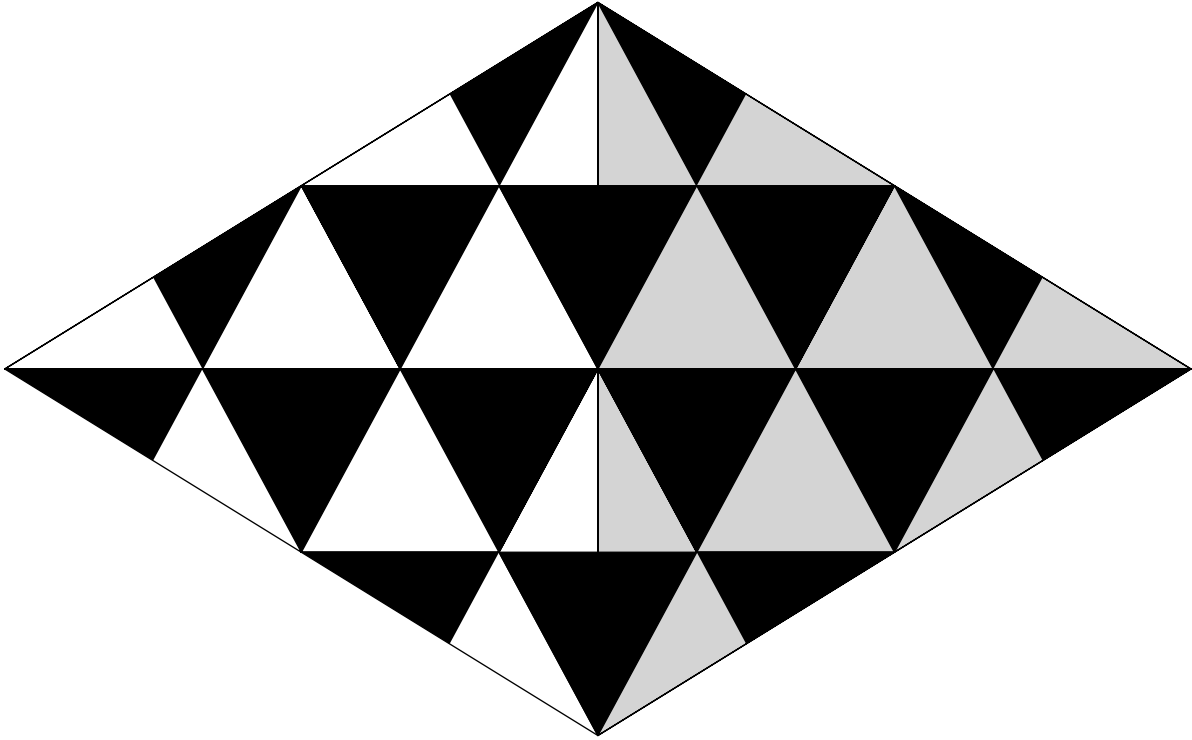
$$X(F)_\alpha := X(F_\alpha),$$

$$\delta_n(F)_\alpha := \mu_{n,\alpha} \cdot F_\alpha,$$

where

$$\mu_{n,\alpha} := X^{n-1}(\mu_\alpha), \quad \forall n \in \mathbb{N}.$$

$$\mu_\gamma(z) = \frac{1}{2\pi i} \frac{d}{dz} \log \frac{\eta^4 | \gamma}{\eta^4}.$$



$$Z(z) := \frac{2\pi i}{3} \int_{i\infty}^z \eta^4 dz,$$

$$dZ := \frac{1}{3} \eta^4 \frac{dq}{q} = \frac{2\pi i}{3} \eta^4 dz.$$

$J_1^+(S^1)$	$\mathcal{L}^{-2}$
$S^1$	$\Gamma'(1) \backslash H^*$
$\theta \in \mathbb{R}/2\pi\mathbb{Z}$	$Z \in \mathbb{C}/\Lambda$
$e^{i\theta} = \cos\theta + i\sin\theta$	$(\wp_\Lambda(Z), \wp'_\Lambda(Z)) =$ $(\sqrt[3]{j}, -\frac{2E_6}{\eta^{12}})$
$\phi(\theta)$	$Z _\gamma$
$\phi'(\theta)$	$J(\gamma) = \frac{dZ _\gamma}{dZ}$
$(\frac{d}{d\theta})^n \text{Log} (\phi'(\theta))$	$(\frac{d}{dZ})^n \text{Log} (J(\gamma))$



# Hopf Symmetry of Modular Hecke Algebras

Let  $\Gamma$  be any congruence subgroup.

- 1<sup>0</sup>. The above define a Hopf action of the Hopf algebra  $\mathcal{H}_1$  on the algebra  $\mathcal{A}(\Gamma)$ .
- 2<sup>0</sup>. The Schwarzian derivation  $\delta'_2 = \delta_2 - \frac{1}{2}\delta_1^2$  is inner and is implemented by  $\omega_4 = -\frac{E_4}{72} \in \mathcal{A}(\Gamma)$ ,  $E_4(q) := 1 + 240 \sum_1^\infty n^3 \frac{q^n}{1-q^n}$ .
- 3<sup>0</sup>. The image of the tranverse fundamental class  $[F] \in HC_{\text{Hopf}}^2(\mathcal{H}_1)$  under the canonical map from the Hopf cyclic cohomology of  $\mathcal{H}_1$  to the Hochschild cohomology of  $\mathcal{A}(\Gamma)$ , gives the natural extension of the first Rankin-Cohen bracket  $\{\cdot, \cdot\}_1$  to the algebra  $\mathcal{A}(\Gamma)$ .

## Some available papers

Here are relevant papers that can be downloaded on the site

<http://www.alainconnes.org/downloads.html>

- 1<sup>0</sup>. A survey of foliations and operator algebras.
- 2<sup>0</sup>. Cyclic cohomology and the transverse fundamental class of a foliation.
- 3<sup>0</sup>. Hopf algebras, cyclic cohomology and the transverse index theorem (with Henri Moscovici).
- 4<sup>0</sup>. Modular Hecke Algebras and their Hopf Symmetry (with Henri Moscovici).
- 5<sup>0</sup>.  $L^2$  Homology for von-Neumann Algebras (with D. Shlyakhtenko).